

## On the principles of Hamilton and Maupertuis

By

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The meaning of the *principle of least action*, as **Lagrange** expressed it, is known to have remained doubtful for some time, although **Ostrogradsky** had already exhibited the general variational principle for the isoperimetric problems. **Hölder** deserves the credit for having posed the question with complete clarity, and its renewed treatment of gave rise to the investigations of **Hertz** and **Helmholtz**. If I come back to the work of **Hölder** <sup>(1)</sup> here, who thoroughly explained *the connection between the principles of least action and Hamilton's principle* and the nature of variational considerations that one calls upon in so doing, then it is not to add anything essential to main question itself. However, the application of the line of reasoning to the case of *completely-general coordinates* requires some arguments that are not found in his article, in which only coordinates that were *explicitly independent* of time were employed. Following through on that would perhaps be nontrivial. To that end, I will first reproduce **Hölder's** line of reasoning in a somewhat-different form <sup>(2)</sup> and then explain it based upon completely-general coordinates.

### § 1. - Hölder's formulation of the principles of Hamilton and Maupertuis.

Let a problem in mechanics be given, in which the coordinates  $x, y, z$  are subject to conditions that are *independent of time*, some of which can also possess the form of *linear differential equations*. Furthermore,  $T$  is the *vis viva*, and:

$$\delta'U = \sum X_h \delta x_h + Y_h \delta y_h + Z_h \delta z_h$$

is the virtual work done by the active forces.

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<sup>(1)</sup> **A. Hölder**, “Ueber die Principien von Hamilton und Maupertuis,” Gött. Nachr., Heft 2 (1896), 1-36.

<sup>(2)</sup> The application of the coordinates  $q$  was already suggested by **Hölder**, moreover; *loc. cit.*, pp. 14, Rem.

One can then fulfill the finite condition equations by means of the coordinates  $q_i$ ,  $i = 1, 2, \dots, n$ , which will make  $T$  become a *homogeneous* function of degree two in the  $q'_i$ , and  $\delta'U$  will go to:

$$(1) \quad \delta'U = \sum_i Q_i \delta q_i ,$$

while the further differential equations:

$$(2) \quad \sum_i p_{ki} dq_i = 0 , \quad k = 1, 2, \dots, r ; i = 1, 2, \dots, n$$

exist between the  $q_i$ .

If one assigns variations to  $t$ ,  $q_i$  such that the displacements  $P'$  of the system of points  $P$  correspond to the values  $t + \delta t$ ,  $q_i + \delta q_i$ , so  $\delta q_i$ ,  $\delta t$  are the variations at the time  $t$  when the original coordinates take on arbitrary *virtual* displacements  $\delta x_h$ ,  $\delta y_h$ ,  $\delta z_h$  then from known methods <sup>(1)</sup>:

$$\delta T = \sum_i \frac{\partial T}{\partial q_i} \delta q_i + \sum \frac{\delta T}{\delta q'_i} \left( \frac{\delta dq_i}{dt} - q'_i \frac{\delta dt}{dt} \right) ,$$

so

$$dt \delta T + 2 T \delta dt = dt \sum_i \frac{\partial T}{\partial q_i} \delta q_i + \sum \frac{\partial T}{\partial q'_i} \delta dq_i dt + \left( 2T - \sum q'_i \frac{\partial T}{\partial q'_i} \right) \delta dt ,$$

or in the event that the last term can be dropped by using the theorem on homogeneous functions and the second one is transformed by partial integration under the integration over the time interval  $t_1 - t_0$ :

$$\int_{t_0}^{t_1} dt \delta T + 2T \delta dt = \left| \sum \frac{\partial T}{\partial q'_i} \delta q_i \right|_{t_0}^{t_1} + \int_{t_0}^{t_1} \sum \left( \frac{\partial T}{\partial q_i} - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) \delta q_i dt ,$$

i.e., when one adds:

$$\int_{t_0}^{t_1} \delta'U dt$$

to both sides and drops the terms on the right that are free of the  $\int$  sign, from (1), one will have:

$$\int_{t_0}^{t_1} dt \delta T + 2T \delta dt + \delta'U dt = \int_{t_0}^{t_1} \sum \left( \frac{\partial T}{\partial q_i} + Q_i - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) dt \delta q_i .$$

If time is left unvaried now then it will follow that:

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<sup>(1)</sup> Cf., **Hölder**, pp. 9.

$$\int_{t_0}^{t_1} (\delta T + \delta' U) dt = \int_{t_0}^{t_1} \sum \left( \frac{\partial T}{\partial q_i} + Q_i - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) \delta q_i dt,$$

and the demand that **Hamilton's** integral must vanish will produce the differential equations of mechanics:

$$\frac{\partial T}{\partial q_i} + Q_i - \frac{d}{dt} \frac{\partial T}{\partial q'_i} = \sum_{s=0}^r \lambda_s p_{si},$$

and conversely.

However, if time is varied then, by contrast, the **Hölder** condition:

$$\delta T - \delta' U = 0$$

for the behavior of *energy* must be introduced into the conditions (2), which will then yield:

$$\delta \int_{t_0}^{t_1} 2T dt = \int_{t_0}^{t_1} \sum \left( \frac{\partial T}{\partial q_i} + Q_i - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) \delta q_i dt,$$

such that *the extended principle of least action* <sup>(1)</sup> is also completely equivalent to the differential equations of mechanics.

## § 2. – The case of general coordinates.

Now, let the finite condition equations also depend upon time *explicitly*. When one frees them of the coordinates  $q_i$ ,  $i = 1, 2, \dots, n$ , so one sets the  $x_h, y_h, z_h$  equal to functions of the  $t, q_i$  now, the differential equations of condition will also have the form:

$$(1) \quad \sum p_{ks} dq_s + p_k dt = 0 \quad k = 1, \dots, \nu,$$

in which the  $p_{ks}, p_k$  are now functions of  $q_i, t$ , and even when they do not include  $t$  explicitly as a differential or an argument, such that this general case, which I already considered in 1884 <sup>(2)</sup>, is the one that will be postulated here. At the same time,  $T$  will become a function of degree two in the  $q'_i$  <sup>(3)</sup>.

If one now assigns variations to the  $x_h, y_h, z_h$ , in such a way that one varies  $q_i, t$  by  $\delta q_i, \delta t$ , resp., then the  $x_h$  will change by:

$$\delta x_h = \sum \frac{\partial x_h}{\partial q_i} \delta q_i + \frac{\partial x_h}{\partial t} \delta t,$$

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<sup>(1)</sup> Cf., **Hölder**, pp. 11.

<sup>(2)</sup> **A. Voss**, “Ueber die Differentialgleichungen der Mechanik,” Math. Ann. 25, pp. 258.

<sup>(3)</sup> This special form of  $T$  is entirely irrelevant in what follows.

while one has

$$\frac{dx_h}{dt} = \sum \frac{\partial x_h}{\partial q_i} q'_i + \frac{\partial x_h}{\partial t}.$$

However, in the application of **d'Alembert's** principle, one merely applies *virtual* displacements  $\delta'x_h, \delta'y_h, \delta'z_h$ . Upon considering the equation:

$$\delta x_h - x'_h \delta t = \sum \frac{\partial x_h}{\partial q_i} (\delta q_i - q'_i \delta t),$$

one now sees from that consideration <sup>(1)</sup> that the virtual displacements  $\delta'$  will correspond to the values:

$$(2) \quad \delta' q_i = \delta q_i - q'_i \delta t,$$

such that

$$\delta' x_h = \sum \frac{\partial x_h}{\partial q_i} \delta' q_i.$$

It then follows from equation (2) that when one increases  $t$  by  $dt$  and  $q_i$  by  $dq_i$ , one will have:

$$(3) \quad \delta' dq_i = \delta dq_i - q'_i \delta dt - q''_i \delta t dt.$$

One will now get, as before:

$$\begin{aligned} & \int_{t_0}^{t_1} dt \delta T + 2T \delta dt \\ &= \left| \sum \frac{\partial T}{\partial q'_i} \delta q_i \right|_{t_0}^{t_1} + \int_{t_0}^{t_1} dt \frac{\partial T}{\partial t} \delta t + \int_{t_0}^{t_1} \sum \left( \frac{\partial T}{\partial q_i} - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) \delta t dt + \int_{t_0}^{t_1} \left( 2T - \sum q'_i \frac{\partial T}{\partial q'_i} \right) \delta dt, \end{aligned}$$

or when the last integral is dealt with by partial integration:

$$= \left| \sum 2T dt + \sum \frac{\partial T}{\partial q'_i} \right|_{t_0}^{t_1} + \int_{t_0}^{t_1} \left[ \frac{\partial T}{\partial t} \delta t + \sum \left( \frac{\partial T}{\partial q_i} - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) \delta q_i - \frac{d}{dt} \left( 2T - \sum q'_i \frac{\partial T}{\partial q'_i} \right) \delta t \right] dt.$$

If one now considers that:

$$\frac{d}{dt} \left( 2T - \sum q'_i \frac{\partial T}{\partial q'_i} \right) = 2 \frac{\partial T}{\partial t} + 2 \sum \frac{\partial T}{\partial q_i} q'_i + \sum \frac{\partial T}{\partial q'_i} q''_i - \sum q'_i \frac{d}{dt} \left( \frac{\partial T}{\partial q'_i} \right)$$

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<sup>(1)</sup> Cf., a remark in regard to that by **J. Routh**, *Dynamik*, v. II, pp. 329.

then when one adds the integral:

$$\int \delta'U dt = \int \sum Q_i \delta'q_i dt$$

to both sides, the part of the right-hand side that is free of the integral will drop out when one suitably disposes of the variations at the beginning and end of time, and that will produce the equation:

$$(4) \quad \int dt \delta T + 2T \delta dt + dT \delta t + \delta'U dt = \int \sum \left( \frac{\partial T}{\partial q_i} - \frac{d}{dt} \frac{\partial T}{\partial q'_i} \right) \delta'q_i dt .$$

It will then follow from (1) that:

$$\begin{aligned} \sum p_{ki} q'_i + p_k &= 0 , \\ \sum p_{ki} \delta q_i + p_k \delta t &= 0 , \end{aligned}$$

or from (2):

$$\sum p_{ki} \delta'q_i = 0$$

is the expression for the conditions that the *virtual* displacements are subjected to.

Now, if  $\delta t$  is set equal to zero, then the left-hand side will once more imply that **Hamilton's** integral condition is equivalent to the equations:

$$\frac{\partial T}{\partial q_i} - \frac{d}{dt} \frac{\partial T}{\partial q'_i} + Q_i = \sum_{k=0}^r \lambda_k p_{ki} .$$

On the other hand, if one imposes the relation that the *energy* is subject to for the varied motion in the form:

$$(5) \quad \delta'U dt + dt \delta t = \delta T dt$$

then the *extended principle of least work* will follow.

One has:

$$\begin{aligned} \delta t dT &= \delta t \left[ \frac{\partial T}{\partial t} dt + \sum \frac{\partial T}{\partial q_i} q'_i dt + \sum \frac{\partial T}{\partial q'_i} q''_i dt \right] , \\ dt \delta T &= dt \left[ \frac{\partial T}{\partial t} \delta t + \sum \frac{\partial T}{\partial q_i} \delta q_i + \sum \frac{\partial T}{\partial q'_i} \frac{\delta dq_i - q'_i \delta dt}{dt} \right] , \end{aligned}$$

or from (5) and (3):

$$\delta'U = \sum \left( \frac{\partial T}{\partial q_i} \delta'q_i + \frac{\partial T}{\partial q'_i} \delta'q'_i \right) ,$$

with suitable notations, such that the *total energy* will not be changed by the *virtual* displacements here either since the last equation can also be written in the form:

$$\delta'(T-U) = 0 .$$

However, the investigation in question is also completely resolved in the most general case with that.

**Würzburg**, in July 1900.

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